Tools for collocation extraction: preferences for active vs. passive

Ulrich Heid Marion Weller

Universität Stuttgart
Institut für maschinelle Sprachverarbeitung
– Computerlinguistik –
Azenbergstr. 12
D 70174 Stuttgart

Marrakech, 29-5-2008, LREC-2008

Collocations: definitional elements

Working definition by S. Bartsch 2004:76

Collocations are

lexically and/or pragmatically constrained

recurrent cooccurrences

of at least two lexical items

which are in a direct syntactic relation with each other

Collocations: definitional elements

Working definition by S. Bartsch 2004:76

Collocations are

lexically and/or pragmatically constrained

→ partial idiomatization:

- at lexical-semantic level: choice of collocates
- at morphosyntactic level: (partial) fixedness

recurrent cooccurrences

of at least two lexical items

which are in a direct syntactic relation with each other

Collocations: definitional elements

Working definition by S. Bartsch 2004:76

Collocations are

lexically and/or pragmatically constrained

→ partial idiomatization:

- at lexical-semantic level: choice of collocates
- at morphosyntactic level: (partial) fixedness

recurrent cooccurrences

→ observable by means of association measures

of at least two lexical items

which are in a direct syntactic relation with each other

Collocations: definitional elements

Working definition by S. Bartsch 2004:76

Collocations are

lexically and/or pragmatically constrained

→ partial idiomatization:

- at lexical-semantic level: choice of collocates
- at morphosyntactic level: (partial) fixedness

recurrent cooccurrences

→ observable by means of association measures

of at least two lexical items

→ binary structure: base + collocate, recursion possible

which are in a direct syntactic relation with each other

Collocations: definitional elements

Working definition by S. Bartsch 2004:76

Collocations are

- *lexically and/or pragmatically constrained*
  - → partial idiomatization:
    - at lexical-semantic level: choice of collocates
    - at morphosyntactic level: (partial) fixedness
- *recurrent cooccurrences*
  - → observable by means of association measures
- *of at least two lexical items*
  - → binary structure: base + collocate, recursion possible
- *which are in a direct syntactic relation with each other*
  - → relational cooccurrence (cf. Evert 2004, e.g.)
    - subject + verb: *question arises*
    - verb + object: *raise + question*
    - etc.

Options for collocation extraction (1/4)

Tasks of collocation extraction

Options for collocation extraction (1/4)

Tasks of collocation extraction

- Identification of known collocations in text

Options for collocation extraction (1/4)

Tasks of collocation extraction

- Identification of known collocations in text
- Identification of new collocation candidates in texts

Options for collocation extraction (1/4)

Tasks of collocation extraction

- Identification of known collocations in text
- Identification of new collocation candidates in texts
- Collection of instances of collocation candidates and overview of morphosyntactic fixedness behaviour

Options for collocation extraction (1/4)

Tasks of collocation extraction

- Identification of known collocations in text
- Identification of new collocation candidates in texts
- Collection of instances of collocation candidates and overview of morphosyntactic fixedness behaviour

Options for collocation extraction (2/4)

Available tool setups

Options for collocation extraction (2/4)
Available tool setups

- Statistics-only:
  association measures (AMs) over word sequences or windows

Options for collocation extraction (2/4)

Available tool setups

- Statistics-only:
  association measures (AMs) over word sequences or windows
- Statistics + POS-filter (e.g. Smadja 1993):
  - cooccurrence candidates by statistics
  - filtering with patterns of allowable POS combinations

Options for collocation extraction (2/4)

Available tool setups

- Statistics-only:
  association measures (AMs) over word sequences or windows
- Statistics + POS-filter (e.g. Smadja 1993):
  - cooccurrence candidates by statistics
  - filtering with patterns of allowable POS combinations
- POS-based extraction + statistical ranking
  (Heid 1998, Krenn 2000, Evert 2004, . . .):
  - search via POS patterns, ranking via AMs

Options for collocation extraction (2/4)

Available tool setups

- Statistics-only:
  association measures (AMs) over word sequences or windows
- Statistics + POS-filter (e.g. Smadja 1993):
  - cooccurrence candidates by statistics
  - filtering with patterns of allowable POS combinations
- POS-based extraction + statistical ranking
  (Heid 1998, Krenn 2000, Evert 2004, . . .):
  - search via POS patterns, ranking via AMs
- Chunking-based extraction + statistical ranking
  (Ritz 2006, Ritz/Heid 2006)

Options for collocation extraction (2/4)

Available tool setups

- Statistics-only:
  association measures (AMs) over word sequences or windows
- Statistics + POS-filter (e.g. Smadja 1993):
  - cooccurrence candidates by statistics
  - filtering with patterns of allowable POS combinations
- POS-based extraction + statistical ranking
  (Heid 1998, Krenn 2000, Evert 2004, . . .):
  - search via POS patterns, ranking via AMs
- Chunking-based extraction + statistical ranking
  (Ritz 2006, Ritz/Heid 2006)
- Parsing-based extraction + statistical ranking
  (Villada Moirón 2005, Seretan 2008, Geyken 2008)

Options for collocation extraction (3/4)

Constraints on collocation extraction from German texts

- German verb placement models

Type	Model	VF	LK	MF		RK	NF
Question	v-1		Löst	der Mitarbeiter	[...] das Problem?		
Conditional	v-1		Löst	der Mitarbeiter	[...] das Problem,		so ...
Decl. sent.	v-2	Der Mitarbeiter	löst	[...] das Problem			
Subclause	vlast		weil	der Mitarbeiter	[...] das Problem	löst	

Options for collocation extraction (3/4)

Constraints on collocation extraction from German texts

- German verb placement models

Type	Model	VF	LK	MF		RK	NF
Question	v-1		Löst	der Mitarbeiter	[...] das Problem?		
Conditional	v-1		Löst	der Mitarbeiter	[...] das Problem,		so ...
Decl. sent.	v-2	Der Mitarbeiter	löst	[...] das Problem			
Subclause	vlast		weil	der Mitarbeiter	[...] das Problem	löst	

→ More effort to produce extraction patterns, unless parsed data are used

Options for collocation extraction (3/4)

Constraints on collocation extraction from German texts

- German verb placement models

Type	Model	VF	LK	MF		RK	NF
Question	v-1		Löst	der Mitarbeiter	[...] das Problem?		
Conditional	v-1		Löst	der Mitarbeiter	[...] das Problem,		so ...
Decl. sent.	v-2	Der Mitarbeiter	löst	[...] das Problem			
Subclause	vlast		weil	der Mitarbeiter	[...] das Problem	löst	

  → More effort to produce extraction patterns, unless parsed data are used

- Relatively free constituent order in *Mittelfeld*

  → Risk of low precision on V+PP-collocations, due to object/adjunct problem

Options for collocation extraction (3/4)

Constraints on collocation extraction from German texts

- German verb placement models

Type	Model	VF	LK	MF		RK	NF
Question	v-1		Löst	der Mitarbeiter	[...] das Problem?		
Conditional	v-1		Löst	der Mitarbeiter	[...] das Problem,		so ...
Decl. sent.	v-2	Der Mitarbeiter	löst	[...] das Problem			
Subclause	vlast		weil	der Mitarbeiter	[...] das Problem	löst	

  → More effort to produce extraction patterns, unless parsed data are used

- Relatively free constituent order in *Mittelfeld*

  → Risk of low precision on V+PP-collocations, due to object/adjunct problem

- Case syncretism in German NPs: only 21 % unambiguous (Evert 2004)

  → Risk of lower precision on $V+N_{Object}$-collocations

Options for collocation extraction (4/4)
Proposed solution

Compromise

- Use of chunked text (available: $\gg$ 500 M words):
  - $\Rightarrow$ no need for large-scale parsing effort:
    efficient processing of large amounts of text
- Use of specific sentence types:
  The following allow for high precision extraction:
  - active + verb-final (`vlast`)
  - passive + verb-1st
  - passive + verb-2nd
  - passive + verb-final
  - $\Rightarrow$ Preference for high precision over high recall
  - $\Rightarrow$ Detailed data on passives of V+N-collocations
  - $\Rightarrow$ But: only approximative data on preferences for passives

Outline architecture

Instance of: chunking-based extraction + statistical ranking

- Preprocessing of corpora

Outline architecture

Instance of: chunking-based extraction + statistical ranking

- Preprocessing of corpora
- Pattern-based extraction

Outline architecture

Instance of: chunking-based extraction + statistical ranking

- Preprocessing of corpora
- Pattern-based extraction
- Intermediate storage
  in a database

Outline architecture

Instance of: chunking-based extraction + statistical ranking

- Preprocessing of corpora
- Pattern-based extraction
- Intermediate storage in a database
- Interpretation, e.g. LogL

Outline architecture

Instance of: chunking-based extraction + statistical ranking

- Preprocessing of corpora

  Tagging (Schmid 1994), STTS
  Lemmatization (Schmid 1994)
  Chunking (Kermes 2003)

- Pattern-based extraction

- Intermediate storage
  in a database
- Interpretation, e.g. LogL

Outline architecture

Instance of: chunking-based extraction + statistical ranking

- Preprocessing of corpora
  - Tagging (Schmid 1994), STTS
  - Lemmatization (Schmid 1994)
  - Chunking (Kermes 2003)
- Pattern-based extraction
  - based on Stuttgart CorpusWorkBench, CWB (Evert 2005)
- Intermediate storage in a database
- Interpretation, e.g. LogL

Outline architecture

Instance of: chunking-based extraction + statistical ranking

- Preprocessing of corpora

  Tagging (Schmid 1994), STTS
  Lemmatization (Schmid 1994)
  Chunking (Kermes 2003)

- Pattern-based extraction

  based on Stuttgart CorpusWorkBench, CWB (Evert 2005)

- Intermediate storage in a database

  (Ritz 2006)

- Interpretation, e.g. LogL

Outline architecture

Instance of: chunking-based extraction + statistical ranking

- Preprocessing of corpora
  - Tagging (Schmid 1994), STTS
  - Lemmatization (Schmid 1994)
  - Chunking (Kermes 2003)
- Pattern-based extraction
  - based on Stuttgart CorpusWorkBench, CWB (Evert 2005)
- Intermediate storage in a database
  - (Ritz 2006)
- Interpretation, e.g. LogL
  - (Dunning 1993, Evert 2004)

Extraction details: sample query

```
     MACRO passive_verb-final(0)
1    (
2    [pos = "(KOU(S|I)|PRELS)"]
3    []*
4    <np>
5    @[!pp & !ap & _.np_f not contains "ne" & _.np_f not contains "pron"
6       & _.np_f not contains "meas" & _.np_h != "@card@"]
7    [!pp & !ap & _.np_f not contains "ne" & _.np_f not contains "pron"
8       & _.np_f not contains "meas" & _.np_h != "@card@"]*
9    </np>
10   [!np & pos != "(\$.|KOUS|VMFIN)"]*
11   [pos = "V.*"]*
12   [pos = "VVPP"]
13   [lemma = "(werden|sein)"]
14   [pos = "V.*"]*
15   [pos = "(\$.|KON)"]
16   )
17   within s
```

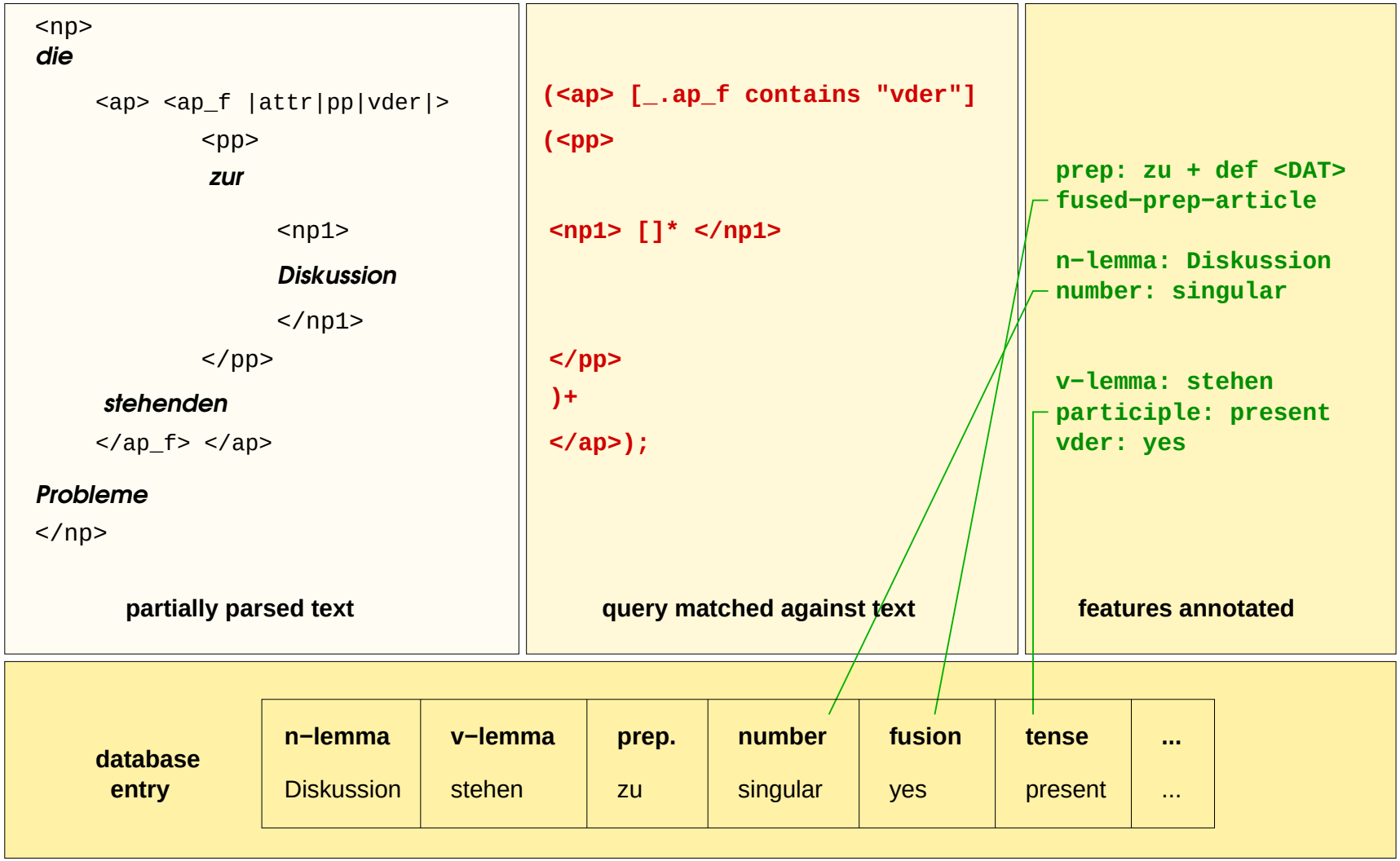
- verb-final clause: v-participle at the end (12), conjunction at the beginning (2)
- NP left of verb complex (4-9)
- removal of unwanted nominals: pronouns, proper names, measure items (4-9)

Extraction details: morphosyntactic features

```
     MACRO passive_verb-final(0)
1    (
2    [pos = "(KOU(S|I)|PRELS)"]
3    []*
4    <np>
...
9    </np>
10   [!np & pos != "(\$.|KOUS|VMFIN)"]*
11   [pos = "V.*"]*
12   [pos = "VVPP"]
13   [lemma = "(werden|sein)"]
14   [pos = "V.*"]*
15   [pos = "(\$.|KON)"]
16   )
17   within s
```

- noun and verb lemma, and type of determiner (4-9, 12)
- NP number (4-9)
- tense (11/14), modal (11/14) and passive auxiliary (13)
- active/passive and verb placement model:
  extracted via different named queries

Extraction details: morphosyntactic features



Results: data

Corpora used:

– Newspapers (ca. 200 M)

– Juridical Journals (76 M)

– EU texts from JRC:
Acquis Communautaire (16 M)

Results: data

- Passives: 5.8 – 15.3 % of all occurrences

Corpora used:
– Newspapers (ca. 200 M)
– Juridical Journals (76 M)
– EU texts from JRC:
Acquis Communautaire (16 M)

Results: data

- Passives: 5.8 – 15.3 % of all occurrences

Corpora used:
– Newspapers (ca. 200 M)
– Juridical Journals (76 M)
– EU texts from JRC:
Acquis Communautaire (16 M)

- Morphosyntactic preferences of collocations come out clearly: variability vs. fixedness (see example on next slide)

Results: data

- Passives: 5.8 – 15.3 % of all occurrences

Corpora used:
– Newspapers (ca. 200 M)
– Juridical Journals (76 M)
– EU texts from JRC:
Acquis Communautaire (16 M)

- Morphosyntactic preferences of collocations come out clearly: variability vs. fixedness (see example on next slide)
- Complex-predicate type collocations: no passive under V2

Candidate	A:V-L	P:V-1	P:V-L	P:V-2
Auffassung vertreten ("be of . . . opinion")	1321	53	97	48
Bezug nehmen ("make reference")	783	439	492	0
Rechnung tragen ("keep track")	2287	481	492	0
Gebrauch machen ("make use ")	2095	216	430	0
Sorge tragen ("care for")	241	31	43	0

Results: an example case with details

Angst haben ("fear")

f	n_lemma	v_lemma	det_sort	num	aktiv_passiv
209	Angst	haben	null	Sg	active
40	Angst	haben	quant	Sg	active
6	Angst	haben	def	Sg	active
2	Angst	haben	null	Pl	active
1	Angst	haben	indef	Sg	active

Results: an example case with details

Konsequenz(en) ziehen ("draw consequence(s)")

f	n_lemma	v_lemma	det_sort	num	sent_type	aktiv_passiv
13	Konsequenz	ziehen	null	Pl	v-1	passiv
5	Konsequenz	ziehen	def	Sg	v-1	passiv
1	Konsequenz	ziehen	quant	Pl	v-1	passiv
11	Konsequenz	ziehen	null	Pl	v-2	passiv
1	Konsequenz	ziehen	null	Sg	v-2	passiv
104	Konsequenz	ziehen	null	Pl	vvirsk	aktiv
77	Konsequenz	ziehen	def	Pl	vvirsk	aktiv
22	Konsequenz	ziehen	def	Sg	vvirsk	aktiv
13	Konsequenz	ziehen	quant	Pl	vvirsk	aktiv
11	Konsequenz	ziehen	poss	Pl	vvirsk	aktiv
3	Konsequenz	ziehen	indef	Sg	vvirsk	aktiv
2	Konsequenz	ziehen	dem	Sg	vvirsk	aktiv
1	Konsequenz	ziehen	dem	Pl	vvirsk	aktiv
1	Konsequenz	ziehen	poss	Sg	vvirsk	aktiv
16	Konsequenz	ziehen	null	Pl	vvirsk	passiv
3	Konsequenz	ziehen	quant	Pl	vvirsk	passiv

Results: an example case with details

Konsequenz(en) ziehen ("draw consequence(s)")

neg	modal	chunk
-		Welche Konsequenzen werden aus den Untersuchungen gezogen
-	muessen	Konsequenzen muessen gezogen werden
-		Konsequenzen wurden dennoch erst gestern gezogen
-	muessen	Konsequenzen muessten gezogen werden
-	muessen	Welche Konsequenzen muessen Ihrer Ansicht nach aus diesem Wahlkampf gezogen werden
+		Konsequenzen wurden aber bisher nicht gezogen
+		Konsequenzen wurden daraus bisher noch nicht gezogen
+		Konsequenzen wurden aus derlei Einsichten freilich nicht gezogen
+		Konsequenzen wurden aber anscheinend daraus nie gezogen
-	koennen	Konsequenzen koennten aber erst am Ende des Aufklaerungsprozesses gezogen werden
+		Konsequenzen wurden daraus nicht gezogen
-	koennen	Konsequenz kann aus dem Geschehen in der Front National gezogen werden

Evaluation: precision

Preprocessing

- Chunking: chunk size determination (`chu`)
- Word order model determination (`w.o.`)
- Active/passive identification (`a/p.`)
- Collocation candidates (verb + complement) (`v+c.`)

context type	w.o.	a/p.	chu.	v+c.
verb-second, passive	100.0	100.0	96.0	96.0
verb-final, active	56.0	98.0	100.0	88.0
verb-final, passive	100.0	84.0	100.0	80.0
complete set, average	85.3	94.0	98.7	81.3

Evaluation: precision
Collocation candidate extraction

Categories:

- complex predicates
- collocations:
  verb + complement
- syntactically valid
  verb + complement pair
- errors

Criteria	set 2
True positives + sublang. coll	68.9 %
– True positives	20.5 %
– – Complex predicates	2.1 %
– – Collocations	18.4 %
– Sublanguage collocations	48.5 %
True negatives:	31.0 %
– subject + verb	7.8 %
– other	23.2 %

Sample: 2338 candidate pair types from *Acquis Communautaire*

Evaluation: comparison with parsing

Data from juridical journals (78 M words), top 250 candidates per tool

Mini-experiment (F. Fritzinger)

- Compared:
  our system vs. extraction from parsed text (Schiehlen 2003)
- Precision:
  - very high overlap in candidate lists,
    minimal (ca. 5 %) differences are of technical nature
  - parsing allows for better subdivision V+Subj/V+Obj,
    as it uses a subcategorization dictionary
- Recall ($V+N_{Object}$): substantial increase with parsing:
  cf. results by Seretan 2008 for EN and FR

	types	tokens
Chunking-based	254.930	658.687
Parsing-based	535.098	1.496.401

Conclusions

We presented

- a chunking + AM-based system for collocation candidate extraction: viable compromise:
  - efficient on large amounts of data
  - good precision: similar to parsing
  - but low recall: less than half of what parsing finds
- a detailed account of morphosyntactic preferences
  of German V+N-collocations,
  including passivizability
  $\Rightarrow$ full picture on flexibility
- correlations between complex predicates
  and non-passivizability under V-2:
  identification of complex predicates: good precision, but low recall

Next steps

- Combine parsing-based extraction
  with detailed identification of morphosyntactic features
- Use ambiguity annotation of parser output to separate out:
  - clear evidence vs. possibly incorrect evidence
  - e.g. for Adj+N-collocations:
    alte Männer und Frauen (old men and women)

  $\Rightarrow$ further increase in precision?
- Analysis of collocation combinations,
  as e.g. adverbs in collocations are in our intermediate database